

APPLICATION FOR RECOGNITION / RENEWAL OF RECOGNITION OF IN-HOUSE R&D UNIT(S)

(Please read instructions carefully before filling the application)

PART-A: CORPORATE

1. Whether application is for:

(a) Fresh recognition :

(b) Renewal of recognition :

(c) Recognition No. (in case of renewal) :

2 Name of the company :

Permanent Account Number (PAN) of the company

Company Registration Number

Company Registration Date

3 Brief history of the company:

4. Address:

(a) Head office:

**Street Address -
State - TELEFAX:
Fax - Email:**

(b) Registered office:

**Street Address -
State - TELEFAX:
Fax -
Email:**

(c) Factory/factories:

(d) Correspondence Address:

**Street Address -
State - TELEFAX:
Fax - Email:**

(e) R&D laboratory (ies) recognized :

(e)

R&D laboratory (ies) applied for recognition:

(f)

R&D laboratory (ies) other than (d) and (e) :

5. Nature of business :

6. Company Structure

(a) Public/Private/Co-operative/Joint Sector/
Proprietary/Partnership concern/100%EOU :

(b) Whether Foreign Equity Participation (Please give name
of foreign equity participant and percentage thereof) :

S No.	Name of Foreign equity participant	Percentage
1		

(c) Name of Directors of the Board:

S No.	Name of Director	Designation
1		

(d) Name and address of NRI(s), if any :

S No.	Name of the NRI	Address	Email
1			

7. Capital structure

(a) Authorised Capital (In Lakhs):

(b) Paid-up Capital (In Lakhs):

8. Category of the firm: Large / Medium / Small Scale Unit:

9. Administrative Ministry Concerned:

10. Technical Collaboration, if any:

(a) Foreign :

S. No.	Product (Max 150 characters)	Name of the technical collaborator (Max 150 characters)	Address of the technical collaborator (Max 150 characters)	History of collaboration
1				

(b) Indian :

S. No.	Product (Max 150 characters)	Name of the technical collaborator (Max 150 characters)	Address of the technical collaborator (Max 150 characters)	History of collaboration
1				

(c) Details of R&D collaboration entered with:

-

11. Annual turnover for the last 3 years (Rupees in Lakhs):

S. No.	Year	Indigenous (In Lakhs)	Export (In Lakhs)	Total (In Lakhs)
1				

12. Main products manufactured

Product	Installed Capacity	Yearly Production for the last 3 years		
		2015-2016	2014-2015	2013-2014
-	-	-	-	-

12.1 Places of Manufacturing

S. No.	State	City

13. Total manpower employed by the firm including those of R&D:

(a)	Scientific & Technical having graduate degree in engineering/technology or Master's degree in Science and above	-
(b)	Administrative (non-technical)	-
(c)	Others	-
Total (a+b+c)		-

14. Total R&D expenditure of the company (year-wise figures for the past three years (actuals), current year (budgeted) and total estimated for the next three years.

Past Three years (Actuals) (In Lakhs)			Current year (Budgeted) (In Lakhs)	Next three year (Estimated) (In Lakhs)		
-	-	-	-	-	-	-
-	-	-	-	-	-	-

PART-B : RESEARCH & DEVELOPMENT

(In case the application is for more than one R&D unit, Part 'B' of the application should be submitted separately for each R&D unit)

1. Location of the R&D unit :

Unit Name :

2. Is the R&D unit has already been recognised with DSIR? If yes:

- a. Date of initial recognition and its validity period
- b. Current recognition letter No. and its validity period
- c. Break in recognition, if any

3. Changes if any, since the last application for renewal of recognition :

- (a) Manpower
- (b) Floor area
- (c) R&D and pilot plant facilities
- (d) Any other

4. Present status of the R&D projects reported in earlier application of recognition

5. Date of commencement of R&D work (in case of fresh recognition) :

6. Main objectives of the R&D program :

7. Whether R&D establishment is housed in a separate building inside/outside the factory premises? :

Please provide the specifications of R&D establishment

8. R & D Unit Details

- (a) Layout plan of the R&D unit :
- (b) Approx. floor area of the R&D Unit.
- (c) Photographs of the major R&D facilities :
- (d) Are separate accounts maintained for R&D expenditure?
- (e) Are the R&D activities separate from production and quality control?
- (f) Details of environmental clearances obtained, if applicable
- (g) Details of DCGI approval obtained in case of clinical trials, if applicable

9. Details of Major R&D Equipments:

(a) Value of indigenous R&D equipment, as on date (In Lakhs):

(b) Value of imported R&D equipment, as on date :

(c) Value of the pilot plant facilities, if applicable :

(d) Cumulative value of all R&D assets, as on date (In Lakhs):

(e) Gross cumulative value of all quality control and testing facilities/equipment (other than R&D facilities), as on date :

9.1 Major R&D equipments available

S. No.	Name of equipment
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10. Do you have a full time R&D Director/Head? if so, :

(a)	Name
(b) Designation	(c)
Qualification	(d)
Experience (In Years)	(e)
Date of appointment to the post	(f)
Email	(g)
Mobile	(h)
Telephone	(i) Fax

11. Details of R&D achievements made during the past 3 years:

(a) New products developed :

(b) New processes developed :

(c) Improvement in existing production process(s) :

(d) Energy conservation :

(e) Pollution control :

(f) Import substitution (indicate items developed and foreign exchange earned). :

(g) Seminars/symposia attended by R&D staff (attach a separate sheet giving details) :

(h) Number of papers published (attach reprints if available) :

(i) Number of R&D personnel who attended R&D training (Give details in a separate sheet). :

12. Patents filed in India or abroad during the last 3 years:

S No.	Product / Process	Country	Year	Patent Number
1				

13. Whether any of the new technologies developed by the company have been

(a) commercialised by the company :

S No.	Technology	Year of commercialization
1		

(b) exported :

S No.	Technology	Year	Party	Country
1				

c) sold to other parties in India/abroad :

S No.	Technology	Party	Year
1			

14. Give particulars of R&D projects in progress :

Title of project	
Scope of project	
Sponsoring Agency if any	
Project leader	
Year in which started	
Duration in months	
Capital(Estimated in lakhs)	
Recurring(Estimated in lakhs)	
Total(Estimated in lakhs)	
Foreign Exchange(in INR)	
Remarks/Brief write-up of the R&D Projects	

15. R&D project proposed during the next three years :

Title of project	
Scope of project	
Sponsoring Agency if any	
Project leader	
Year in which started	
Duration in months	
Capital(Estimated in lakhs)	
Recurring(Estimated in lakhs)	
Total(Estimated in lakhs)	
Foreign Exchange(in INR)	
Remarks/Brief write-up of the R&D Projects	
Specified equipments to be purchased	Not Available
Specialized raw material	Not Available

16. Details of imports effected for R&D purposes :

Details of imports effected for R&D purposes (Capital goods)

S. No.	Year of Import	Description	CIF Value (In Lakhs)	How utilised
1				

Details of imports effected for R&D purposes (Raw Materials)

S. No.	Year of Import	Description	CIF Value (In Lakhs)	How utilised
1				

Details of imports effected for R&D purposes (Other details)

S. No.	Year of Import	Description	CIF Value (In Lakhs)	How utilised

1				
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17. R&D expenditure of the unit (year-wise figures for the past three years (actuals), current year (budgeted) and estimated for the next three years.

	Year	Capital (In Lakhs)	Recurring (In Lakhs)	Total (In Lakhs)
Past three years(Actual)				
Current year (Budgeted)				
Next three years(Estimated)				

18. Income-tax rebate availed on R&D expenditure under IT Acts / IT Rules, during the past three years:

Year	35(1) (i)	35(1) (iv)	35(2AB)	80-IB(8A)	35(2AA)	Rule 5(2)	Any other
-	-	-	-	-	-	-	-

19. Details of grants-in-aid / funds / loan / equity received for R&D programmes/ commercialization of technologies from any central / state Govt. department(s) during the last three years

S. No.	Organization & Dept.	Amount(In Lakhs)
1		

20. Sales turnover attributable to commercialisation of R&D products/technologies developed during the last three years:

S. No.	Year	Sales Turnover (In Lakhs)
1		

21. Educational status of the manpower engaged in R&D:

S No.	Qualification	Full-Time (Number)	Part-Time (Number)	Proposed Recruitment of R&D manpower in next three years
1				

22 (a) Have you obtained any assistance/purchased know-how from NRDC or any of the National laboratories /universities / IIT's / other institutions during the last 3 years? If so, please give details along with year and source:

S. No.	Year	Source	Other details
1			

(b) Whether any of the items of R&D work in progress or proposed by you is being done elsewhere in country.

Please indicate location.

23 Do you have necessity of collaborative R&D efforts for projects with CSIR or any other National Laboratories/institution?

Please indicate Necessity.

24 Indicate briefly any incentives/benefit derived from the recognition of In-house R&D unit other than those mentioned in Column 18&19.

I hereby certify to the best of my knowledge and belief that all the particulars furnished above are correct and complete. I agree to comply with the terms and conditions of the recognition as laid down by the Department of Scientific and Industrial Research, Ministry of Science and Technology, New Delhi and violation of the same may lead to de-recognition automatically.

Signature

(To be signed by M.D./Chief Executive of Head of the organization)

Name:

Designation: Full

Address: Tel.

Nos. : Fax No.:

Email Address :

Mobile No. :

Place:

Date: